



Boardroom Fundamentals 201 - Course Overview

Module 1: Going Public

- Why Go Public?
- Considerations When Deciding to Go Public
- The IPO Process

Module 2: SEC Reporting and Liability

- Filing Requirements
- Insider Trading and Short Swing Profits

Module 3: Disclosure Controls and Internal Control Over Financial Reporting

- Sarbanes-Oxley Act of 2002
- SEC Definitions of Disclosure Controls and Internal Control
- Examples of Disclosure Controls
- Internal Control Policies and Procedures

Module 4: Annual Meeting of Shareholders

- SEC Rules Governing the Annual Meeting and Proxy Statement
- Parliamentary Procedures of Annual Meeting
- Topics Covered

Module 5: Understanding Financial Statements

- Balance Sheet, Income Statement and Statement of Cash Flows
- Notes to Financial Statements
- Red Flags in Financial Reporting



Module 6: Dividends, Share Repurchases and Stock Splits

- Components of Equity Capital
- Dividends on Common Shares
- What is the Purpose of Share Repurchases and Stock Splits?

Module 7: Board and Committee Self-Evaluations

- Purpose of Boards Conducting Self-Evaluations
- Topics Covered by Self-Evaluations